

Form IV D

Long-term Inter-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Licence Details (No & Date): 58/Trading Licence/2012/CERC, 21st June, 2012

Month: August, 2016

Sr.No	Period of Power Delivery		Scheduled Volume (MUs)	Purchased from			Sold to			Sale Price (₹/kWh)
	Start date (DD/MM/ YYYY)	End date (DD/MM/ YYYY)		Name of the Seller	Category	State	Name of the Buyer	Category	State	
A	Interstate Trading Transactions									
1	01/08/2016	31/08/2016	2.70995	IEX	-	-	OAC	IOAC	Karnataka	4.37
2	01/08/2016	31/08/2016	1.32420	IEX	-	-	OAC	IOAC	Karnataka	3.84
3	01/08/2016	31/08/2016	0.23638	IEX	-	-	OAC	IOAC	Karnataka	3.78
4	01/08/2016	31/08/2016	0.52853	IEX	-	-	OAC	IOAC	Karnataka	3.22
5	01/08/2016	31/08/2016	1.17343	IEX	-	-	OAC	IOAC	Karnataka	4.47
6	01/08/2016	31/08/2016	0.38490	IEX	-	-	OAC	IOAC	Karnataka	5.47
7	01/08/2016	14/08/2016	0.17670	IEX	-	-	OAC	IOAC	Punjab	4.15
8	01/08/2016	31/08/2016	1.07910	IEX	-	-	OAC	IOAC	Rajasthan	3.20
B	Inter State Trading Transactions through Swapping or Banking Arrangement									
1	NIL									
2										
3										
C	Cross Border Transactions									
1	NIL									
2										
3										

Note : OAC Stands for Open Access Consumer

IOAC Stands for Industrial Open Access Consumer

Form IV E

Intra-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: August, 2016

Sr. No.	Total Transacted Volume (MUs)	State
Short Term Transactions		
1	NIL	
2		
3		
4		
Long Term Transactions		
1	NIL	
2		
3		
4		

Note: (1) Total Transacted Volume shall be aggregated for all transactions within each state.

Note: (2) Name of the parties and the price of these transactions are not required.

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: August, 2016

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
1	01/08/2016	1.8340	ADHPL	Himachal Pradesh	IEX	-
2	01/08/2016	0.6240	MPCL	Himachal Pradesh	IEX	-
3	01/08/2016	0.0080	IEX	IEX	JPL	Karnataka
4	01/08/2016	0.0552	IEX	IEX	MTL	Karnataka
5	02/08/2016	1.9760	ADHPL	Himachal Pradesh	IEX	-
6	02/08/2016	0.6240	MPCL	Himachal Pradesh	IEX	-
7	02/08/2016	0.0100	IEX	IEX	JPL	Karnataka
8	02/08/2016	0.0552	IEX	IEX	MTL	Karnataka
9	03/08/2016	2.1960	ADHPL	Himachal Pradesh	IEX	-
10	03/08/2016	0.6240	MPCL	Himachal Pradesh	IEX	-
11	03/08/2016	0.0170	IEX	IEX	JPL	Karnataka
12	03/08/2016	0.0552	IEX	IEX	MTL	Karnataka
13	04/08/2016	2.1960	ADHPL	Himachal Pradesh	IEX	-
14	04/08/2016	0.6240	MPCL	Himachal Pradesh	IEX	-
15	04/08/2016	0.0166	IEX	IEX	JPL	Karnataka
16	04/08/2016	0.0552	IEX	IEX	MTL	Karnataka
17	05/08/2016	2.1960	ADHPL	Himachal Pradesh	IEX	-
18	05/08/2016	0.6240	MPCL	Himachal Pradesh	IEX	-
19	05/08/2016	0.0375	IEX	IEX	JPL	Karnataka
20	05/08/2016	0.0552	IEX	IEX	MTL	Karnataka
21	06/08/2016	2.1960	ADHPL	Himachal Pradesh	IEX	-
22	06/08/2016	0.6240	MPCL	Himachal Pradesh	IEX	-
23	06/08/2016	0.0350	IEX	IEX	JPL	Karnataka
24	06/08/2016	0.0552	IEX	IEX	MTL	Karnataka
25	07/08/2016	2.1960	ADHPL	Himachal Pradesh	IEX	-
26	07/08/2016	0.6240	MPCL	Himachal Pradesh	IEX	-
27	07/08/2016	0.0383	IEX	IEX	JPL	Karnataka
28	07/08/2016	0.0552	IEX	IEX	MTL	Karnataka
29	08/08/2016	2.2080	ADHPL	Himachal Pradesh	IEX	-
30	08/08/2016	0.6240	MPCL	Himachal Pradesh	IEX	-
31	08/08/2016	0.0219	IEX	IEX	JPL	Karnataka
32	08/08/2016	0.0552	IEX	IEX	MTL	Karnataka
33	09/08/2016	2.2080	ADHPL	Himachal Pradesh	IEX	-
34	09/08/2016	0.6240	MPCL	Himachal Pradesh	IEX	-
35	09/08/2016	0.0361	IEX	IEX	JPL	Karnataka
36	09/08/2016	0.0576	IEX	IEX	MTL	Karnataka
37	10/08/2016	2.2080	ADHPL	Himachal Pradesh	IEX	-
38	10/08/2016	0.6240	MPCL	Himachal Pradesh	IEX	-
39	10/08/2016	0.0124	IEX	IEX	JPL	Karnataka
40	10/08/2016	0.0552	IEX	IEX	MTL	Karnataka
41	11/08/2016	2.2080	ADHPL	Himachal Pradesh	IEX	-
42	11/08/2016	0.6240	MPCL	Himachal Pradesh	IEX	-
43	11/08/2016	0.0373	IEX	IEX	JPL	Karnataka
44	11/08/2016	0.0576	IEX	IEX	MTL	Karnataka
45	12/08/2016	2.2080	ADHPL	Himachal Pradesh	IEX	-
46	12/08/2016	0.6360	MPCL	Himachal Pradesh	IEX	-
47	12/08/2016	0.0145	IEX	IEX	JPL	Karnataka
48	12/08/2016	0.0576	IEX	IEX	MTL	Karnataka
49	13/08/2016	2.2080	ADHPL	Himachal Pradesh	IEX	-
50	13/08/2016	0.6360	MPCL	Himachal Pradesh	IEX	-
51	13/08/2016	0.0259	IEX	IEX	JPL	Karnataka
52	13/08/2016	0.0576	IEX	IEX	MTL	Karnataka
53	14/08/2016	3.4080	ADHPL	Himachal Pradesh	IEX	-
54	14/08/2016	0.6360	MPCL	Himachal Pradesh	IEX	-
55	14/08/2016	0.0282	IEX	IEX	JPL	Karnataka
56	14/08/2016	0.0552	IEX	IEX	MTL	Karnataka
57	15/08/2016	2.2080	ADHPL	Himachal Pradesh	IEX	-
58	15/08/2016	1.7280	MPCL	Himachal Pradesh	IEX	-
59	15/08/2016	0.0356	IEX	IEX	JPL	Karnataka
60	15/08/2016	0.1368	IEX	IEX	GAL	Punjab
61	15/08/2016	0.0120	IEX	IEX	MTL	Karnataka
62	16/08/2016	2.2080	ADHPL	Himachal Pradesh	IEX	-
63	16/08/2016	0.6360	MPCL	Himachal Pradesh	IEX	-
64	16/08/2016	0.0232	IEX	IEX	JPL	Karnataka
65	16/08/2016	0.0384	IEX	IEX	MTL	Karnataka
66	17/08/2016	1.9360	ADHPL	Himachal Pradesh	IEX	-
67	17/08/2016	0.6360	MPCL	Himachal Pradesh	IEX	-
68	17/08/2016	0.0311	IEX	IEX	JPL	Karnataka
69	17/08/2016	0.0576	IEX	IEX	MTL	Karnataka
70	18/08/2016	0.9350	ADHPL	Himachal Pradesh	IEX	-

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
71	18/08/2016	0.5840	MPCL	Himachal Pradesh	IEX	-
72	18/08/2016	0.0380	IEX	IEX	JPL	Karnataka
73	18/08/2016	0.0576	IEX	IEX	MTL	Karnataka
74	19/08/2016	1.1860	ADHPL	Himachal Pradesh	IEX	-
75	19/08/2016	0.3360	MPCL	Himachal Pradesh	IEX	-
76	19/08/2016	0.0235	IEX	IEX	JPL	Karnataka
77	19/08/2016	0.0506	IEX	IEX	MTL	Karnataka
78	20/08/2016	1.1860	ADHPL	Himachal Pradesh	IEX	-
79	20/08/2016	0.3000	MPCL	Himachal Pradesh	IEX	-
80	20/08/2016	0.0095	IEX	IEX	JPL	Karnataka
81	20/08/2016	0.0484	IEX	IEX	MTL	Karnataka
82	21/08/2016	1.1860	ADHPL	Himachal Pradesh	IEX	-
83	21/08/2016	0.2890	MPCL	Himachal Pradesh	IEX	-
84	21/08/2016	0.0187	IEX	IEX	JPL	Karnataka
85	21/08/2016	0.0484	IEX	IEX	MTL	Karnataka
86	22/08/2016	0.6850	ADHPL	Himachal Pradesh	IEX	-
87	22/08/2016	0.2980	MPCL	Himachal Pradesh	IEX	-
88	22/08/2016	0.0132	IEX	IEX	MTL	Karnataka
89	23/08/2016	0.3850	ADHPL	Himachal Pradesh	IEX	-
90	23/08/2016	0.2840	MPCL	Himachal Pradesh	IEX	-
91	23/08/2016	0.0002	IEX	IEX	JPL	Karnataka
92	23/08/2016	0.0198	IEX	IEX	MTL	Karnataka
93	24/08/2016	0.0340	ADHPL	Himachal Pradesh	IEX	-
94	24/08/2016	0.5610	MPCL	Himachal Pradesh	IEX	-
95	24/08/2016	0.0022	IEX	IEX	JPL	Karnataka
96	24/08/2016	0.0132	IEX	IEX	MTL	Karnataka
97	25/08/2016	0.1520	ADHPL	Himachal Pradesh	IEX	-
98	25/08/2016	0.4960	MPCL	Himachal Pradesh	IEX	-
99	25/08/2016	0.0070	IEX	IEX	JPL	Karnataka
100	25/08/2016	0.0154	IEX	IEX	MTL	Karnataka
101	26/08/2016	1.5050	ADHPL	Himachal Pradesh	IEX	-
102	26/08/2016	0.6360	MPCL	Himachal Pradesh	IEX	-
103	26/08/2016	0.0120	IEX	IEX	JPL	Karnataka
104	26/08/2016	0.0220	IEX	IEX	MTL	Karnataka
105	27/08/2016	1.2210	ADHPL	Himachal Pradesh	IEX	-
106	27/08/2016	0.6360	MPCL	Himachal Pradesh	IEX	-
107	27/08/2016	0.0178	IEX	IEX	JPL	Karnataka
108	27/08/2016	0.0220	IEX	IEX	MTL	Karnataka
109	28/08/2016	3.0870	ADHPL	Himachal Pradesh	IEX	-
110	28/08/2016	0.6360	MPCL	Himachal Pradesh	IEX	-
111	28/08/2016	0.0211	IEX	IEX	JPL	Karnataka
112	28/08/2016	0.0506	IEX	IEX	MTL	Karnataka
113	29/08/2016	1.9220	ADHPL	Himachal Pradesh	IEX	-
114	29/08/2016	1.7280	MPCL	Himachal Pradesh	IEX	-
115	29/08/2016	0.0191	IEX	IEX	JPL	Karnataka
116	29/08/2016	0.0506	IEX	IEX	MTL	Karnataka
117	30/08/2016	2.7010	ADHPL	Himachal Pradesh	IEX	-
118	30/08/2016	1.7280	MPCL	Himachal Pradesh	IEX	-
119	30/08/2016	0.0196	IEX	IEX	JPL	Karnataka
120	30/08/2016	0.0529	IEX	IEX	MTL	Karnataka
121	31/08/2016	1.7200	ADHPL	Himachal Pradesh	IEX	-
122	31/08/2016	0.6360	MPCL	Himachal Pradesh	IEX	-
123	31/08/2016	0.0456	IEX	IEX	JPL	Karnataka
124	31/08/2016	0.0552	IEX	IEX	MTL	Karnataka

S.No.	Name of the Client	IEX		PXIL	
		Margin charged when MCP is lesser than or equal to ₹3/kWh (₹/kWh)	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)	Margin charged when MCP is lesser than or equal to ₹3/Kwh (₹/kWh)	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)
1	MPCL	0.010	0.010	-	-
2	ADHPL	0.010	0.010	-	-
3	MTL	0.009	0.009	-	-
4	GAL	0.010	0.010	-	-
5	JPL	0.010	0.010	-	-

Note : ADHPL stands for "AD Hydro Power Limited"
MPCL stands for "Malana Power Company Limited"
MTL stands for "Manjushree Technopack Ltd_60_E_&_F_Bommasandra Industrial Area_(RR_No_CDPHT)
GAL stands for Garg_Acrylics_Ltd_POA_0106
JPL stands for "Jodhani_Papers_Doddaballapura_(RR_No_DHTP_69)"

Form IV G

Term Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: August, 2016

Sr.No	Name of Power Exchange (IEX/PXIL)	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased from		Sold to		Transaction Price (₹/kWh)	Trading Margin (₹/kWh)
		Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	State	Name of Buyer	State		
A	Intra-Day Contracts											
1	IEX	23/08/2016	23/08/2016	18:00:00	24:00:00	0.060	MPCL	Himachal	Jindal Stainless Steel Ltd.	Orissa	2.399	0.01
2												
3												
B	Day-Ahead Contingency Contracts											
1	NIL											
2												
3												
C	Daily Contracts											
1	NIL											
2												
3												
D	Weekly Contracts											
1	NIL											
2												
3												

note : MPCL stands for "Malana Power Company Limited"

Form IV H

Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: August, 2016

Sr. No.	Name of REC Client	Client Type (Renewable generator/ Obligated Entities)	Name of Power Exchange	Volume (RECs)	Market Clearing Price (₹/REC)	Trading Margin (₹/kWh)
Non Solar RECs						
1	NIL					
2						
3						
4						
Solar RECs						
1	NIL					
2						
3						
4						