

Form IV D

Long-term Inter-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Licence Details (No & Date): 58/Trading Licence/2012/CERC, 21st June, 2012

Month: December, 2016

Sr.No	Period of Power Delivery		Scheduled Volume (MUs)	Purchased from			Sold to			Sale Price (₹/kWh)
	Start date (DD/MM/ YYYY)	End date (DD/MM/ YYYY)		Name of the Seller	Category	State	Name of the Buyer	Category	State	
A	Interstate Trading Transactions									
1	01/12/2016	31/12/2016	2.57034	IEX	-	-	OAC	IOAC	Karnataka	4.37
2	01/12/2016	31/12/2016	1.55020	IEX	-	-	OAC	IOAC	Karnataka	3.84
3	01/12/2016	31/12/2016	0.26513	IEX	-	-	OAC	IOAC	Karnataka	3.78
4	01/12/2016	31/12/2016	0.68614	IEX	-	-	OAC	IOAC	Karnataka	3.95
5	01/12/2016	31/12/2016	0.42943	IEX	-	-	OAC	IOAC	Karnataka	2.57
6	01/12/2016	31/12/2016	0.55019	IEX	-	-	OAC	IOAC	Karnataka	3.82
7	01/12/2016	31/12/2016	0.27160	IEX	-	-	OAC	IOAC	Karnataka	4.82
9	01/12/2016	31/12/2016	0.92640	IEX	-	-	OAC	IOAC	Rajasthan	3.20
B	Inter State Trading Transactions through Swapping or Banking Arrangement									
1	NIL									
2										
3										
C	Cross Border Transactions									
1	NIL									
2										
3										

Note: OAC Stands for Open Access Consumer

IOAC Stands for Industrial Open Access Consumer

Form IV E

Intra-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: December, 2016

Sr. No.	Total Transacted Volume (MUs)	State
Short Term Transactions		
1	NIL	
2		
3		
4		
Long Term Transactions		
1	NIL	
2		
3		
4		

Note: (1) Total Transacted Volume shall be aggregated for all transactions within each state.

Note: (2) Name of the parties and the price of these transactions are not required.

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: December, 2016

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
1	01/12/2016	0.467000	ADHPL	Himachal Pradesh	IEX	-
2	02/12/2016	0.467000	ADHPL	Himachal Pradesh	IEX	-
3	03/12/2016	0.470000	ADHPL	Himachal Pradesh	IEX	-
4	04/12/2016	0.440000	ADHPL	Himachal Pradesh	IEX	-
5	05/12/2016	0.441000	ADHPL	Himachal Pradesh	IEX	-
6	06/12/2016	0.441000	ADHPL	Himachal Pradesh	IEX	-
7	07/12/2016	0.441000	ADHPL	Himachal Pradesh	IEX	-
8	08/12/2016	0.441000	ADHPL	Himachal Pradesh	IEX	-
9	09/12/2016	0.424000	ADHPL	Himachal Pradesh	IEX	-
10	10/12/2016	0.424000	ADHPL	Himachal Pradesh	IEX	-
11	11/12/2016	0.415000	ADHPL	Himachal Pradesh	IEX	-
12	12/12/2016	0.415000	ADHPL	Himachal Pradesh	IEX	-
13	13/12/2016	0.390000	ADHPL	Himachal Pradesh	IEX	-
14	14/12/2016	0.397000	ADHPL	Himachal Pradesh	IEX	-
15	15/12/2016	0.390000	ADHPL	Himachal Pradesh	IEX	-
16	16/12/2016	0.375000	ADHPL	Himachal Pradesh	IEX	-
17	17/12/2016	0.372000	ADHPL	Himachal Pradesh	IEX	-
18	18/12/2016	0.372000	ADHPL	Himachal Pradesh	IEX	-
19	19/12/2016	0.368000	ADHPL	Himachal Pradesh	IEX	-
20	20/12/2016	0.352000	ADHPL	Himachal Pradesh	IEX	-
21	21/12/2016	0.352000	ADHPL	Himachal Pradesh	IEX	-
22	22/12/2016	0.327000	ADHPL	Himachal Pradesh	IEX	-
23	23/12/2016	0.379000	ADHPL	Himachal Pradesh	IEX	-
24	24/12/2016	0.351000	ADHPL	Himachal Pradesh	IEX	-
25	25/12/2016	0.351000	ADHPL	Himachal Pradesh	IEX	-
26	26/12/2016	0.336000	ADHPL	Himachal Pradesh	IEX	-
27	27/12/2016	0.336000	ADHPL	Himachal Pradesh	IEX	-
28	28/12/2016	0.336000	ADHPL	Himachal Pradesh	IEX	-
29	29/12/2016	0.336000	ADHPL	Himachal Pradesh	IEX	-
30	30/12/2016	0.336000	ADHPL	Himachal Pradesh	IEX	-
31	31/12/2016	0.336000	ADHPL	Himachal Pradesh	IEX	-
32	01/12/2016	0.195000	MPCL	Himachal Pradesh	IEX	-
33	02/12/2016	0.198000	MPCL	Himachal Pradesh	IEX	-
34	03/12/2016	0.198000	MPCL	Himachal Pradesh	IEX	-
35	04/12/2016	0.206000	MPCL	Himachal Pradesh	IEX	-
36	05/12/2016	0.180000	MPCL	Himachal Pradesh	IEX	-
37	06/12/2016	0.286000	MPCL	Himachal Pradesh	IEX	-
38	07/12/2016	0.220000	MPCL	Himachal Pradesh	IEX	-
39	08/12/2016	0.205000	MPCL	Himachal Pradesh	IEX	-
40	09/12/2016	0.212000	MPCL	Himachal Pradesh	IEX	-
41	10/12/2016	0.259000	MPCL	Himachal Pradesh	IEX	-
42	11/12/2016	0.198000	MPCL	Himachal Pradesh	IEX	-
43	12/12/2016	0.240000	MPCL	Himachal Pradesh	IEX	-
44	13/12/2016	0.210000	MPCL	Himachal Pradesh	IEX	-
45	14/12/2016	0.210000	MPCL	Himachal Pradesh	IEX	-
46	15/12/2016	0.210000	MPCL	Himachal Pradesh	IEX	-
47	16/12/2016	0.210000	MPCL	Himachal Pradesh	IEX	-
48	17/12/2016	0.190000	MPCL	Himachal Pradesh	IEX	-
49	18/12/2016	0.190000	MPCL	Himachal Pradesh	IEX	-
50	19/12/2016	0.180000	MPCL	Himachal Pradesh	IEX	-
51	20/12/2016	0.172000	MPCL	Himachal Pradesh	IEX	-
52	21/12/2016	0.177000	MPCL	Himachal Pradesh	IEX	-
53	22/12/2016	0.176000	MPCL	Himachal Pradesh	IEX	-
54	23/12/2016	0.176000	MPCL	Himachal Pradesh	IEX	-
55	24/12/2016	0.195000	MPCL	Himachal Pradesh	IEX	-
56	25/12/2016	0.165000	MPCL	Himachal Pradesh	IEX	-
57	26/12/2016	0.176000	MPCL	Himachal Pradesh	IEX	-
58	27/12/2016	0.176000	MPCL	Himachal Pradesh	IEX	-
59	28/12/2016	0.170000	MPCL	Himachal Pradesh	IEX	-
60	29/12/2016	0.150000	MPCL	Himachal Pradesh	IEX	-
61	30/12/2016	0.170000	MPCL	Himachal Pradesh	IEX	-
62	31/12/2016	0.170000	MPCL	Himachal Pradesh	IEX	-
63	01/12/2016	0.078200	IEX	-	GE	Karnataka
64	02/12/2016	0.079500	IEX	-	GE	Karnataka
65	03/12/2016	0.065350	IEX	-	GE	Karnataka
66	04/12/2016	0.068250	IEX	-	GE	Karnataka
67	05/12/2016	0.074000	IEX	-	GE	Karnataka
68	06/12/2016	0.070280	IEX	-	GE	Karnataka
69	07/12/2016	0.070180	IEX	-	GE	Karnataka
70	08/12/2016	0.071100	IEX	-	GE	Karnataka
71	09/12/2016	0.073000	IEX	-	GE	Karnataka
72	10/12/2016	0.086150	IEX	-	GE	Karnataka
73	11/12/2016	0.073600	IEX	-	GE	Karnataka
74	12/12/2016	0.092400	IEX	-	GE	Karnataka
75	13/12/2016	0.087400	IEX	-	GE	Karnataka
76	14/12/2016	0.082100	IEX	-	GE	Karnataka
77	15/12/2016	0.082100	IEX	-	GE	Karnataka
78	16/12/2016	0.083100	IEX	-	GE	Karnataka
79	17/12/2016	0.072000	IEX	-	GE	Karnataka
80	18/12/2016	0.072000	IEX	-	GE	Karnataka
81	19/12/2016	0.092400	IEX	-	GE	Karnataka
82	20/12/2016	0.092400	IEX	-	GE	Karnataka
83	21/12/2016	0.087200	IEX	-	GE	Karnataka

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
84	22/12/2016	0.087200	IEX	-	GE	Karnataka
85	23/12/2016	0.079800	IEX	-	GE	Karnataka
86	24/12/2016	0.076800	IEX	-	GE	Karnataka
87	25/12/2016	0.076800	IEX	-	GE	Karnataka
88	26/12/2016	0.087300	IEX	-	GE	Karnataka
89	27/12/2016	0.086300	IEX	-	GE	Karnataka
90	28/12/2016	0.086300	IEX	-	GE	Karnataka
91	29/12/2016	0.068100	IEX	-	GE	Karnataka
92	30/12/2016	0.028520	IEX	-	GE	Karnataka
93	31/12/2016	0.059150	IEX	-	GE	Karnataka
94	01/12/2016	0.013990	IEX	-	JPL	Karnataka
95	02/12/2016	0.010573	IEX	-	JPL	Karnataka
96	03/12/2016	0.007120	IEX	-	JPL	Karnataka
97	04/12/2016	0.007673	IEX	-	JPL	Karnataka
98	05/12/2016	0.007120	IEX	-	JPL	Karnataka
99	06/12/2016	0.007120	IEX	-	JPL	Karnataka
100	07/12/2016	0.007120	IEX	-	JPL	Karnataka
101	08/12/2016	0.007120	IEX	-	JPL	Karnataka
102	09/12/2016	0.007120	IEX	-	JPL	Karnataka
103	10/12/2016	0.008010	IEX	-	JPL	Karnataka
104	11/12/2016	0.010235	IEX	-	JPL	Karnataka
105	12/12/2016	0.012275	IEX	-	JPL	Karnataka
106	13/12/2016	0.017433	IEX	-	JPL	Karnataka
107	14/12/2016	0.019115	IEX	-	JPL	Karnataka
108	15/12/2016	0.018723	IEX	-	JPL	Karnataka
109	16/12/2016	0.036980	IEX	-	JPL	Karnataka
110	17/12/2016	0.041578	IEX	-	JPL	Karnataka
111	18/12/2016	0.045600	IEX	-	JPL	Karnataka
112	19/12/2016	0.031698	IEX	-	JPL	Karnataka
113	20/12/2016	0.042098	IEX	-	JPL	Karnataka
114	21/12/2016	0.039900	IEX	-	JPL	Karnataka
115	22/12/2016	0.038773	IEX	-	JPL	Karnataka
116	23/12/2016	0.038950	IEX	-	JPL	Karnataka
117	24/12/2016	0.044650	IEX	-	JPL	Karnataka
118	25/12/2016	0.045600	IEX	-	JPL	Karnataka
119	26/12/2016	0.037995	IEX	-	JPL	Karnataka
120	27/12/2016	0.039098	IEX	-	JPL	Karnataka
121	28/12/2016	0.029850	IEX	-	JPL	Karnataka
122	29/12/2016	0.016625	IEX	-	JPL	Karnataka
123	30/12/2016	0.000000	IEX	-	JPL	Karnataka
124	31/12/2016	0.000000	IEX	-	JPL	Karnataka
125	01/12/2016	0.056700	IEX	-	MTL	Karnataka
126	02/12/2016	0.056700	IEX	-	MTL	Karnataka
127	03/12/2016	0.056700	IEX	-	MTL	Karnataka
128	04/12/2016	0.059400	IEX	-	MTL	Karnataka
129	05/12/2016	0.056700	IEX	-	MTL	Karnataka
130	06/12/2016	0.054000	IEX	-	MTL	Karnataka
131	07/12/2016	0.043200	IEX	-	MTL	Karnataka
132	08/12/2016	0.043200	IEX	-	MTL	Karnataka
133	09/12/2016	0.051300	IEX	-	MTL	Karnataka
134	10/12/2016	0.056700	IEX	-	MTL	Karnataka
135	11/12/2016	0.056700	IEX	-	MTL	Karnataka
136	12/12/2016	0.062100	IEX	-	MTL	Karnataka
137	13/12/2016	0.064800	IEX	-	MTL	Karnataka
138	14/12/2016	0.064800	IEX	-	MTL	Karnataka
139	15/12/2016	0.064800	IEX	-	MTL	Karnataka
140	16/12/2016	0.052800	IEX	-	MTL	Karnataka
141	17/12/2016	0.052800	IEX	-	MTL	Karnataka
142	18/12/2016	0.052800	IEX	-	MTL	Karnataka
143	19/12/2016	0.052800	IEX	-	MTL	Karnataka
144	20/12/2016	0.052800	IEX	-	MTL	Karnataka
145	21/12/2016	0.052800	IEX	-	MTL	Karnataka
146	22/12/2016	0.052800	IEX	-	MTL	Karnataka
147	23/12/2016	0.046200	IEX	-	MTL	Karnataka
148	24/12/2016	0.052800	IEX	-	MTL	Karnataka
149	25/12/2016	0.052800	IEX	-	MTL	Karnataka
150	26/12/2016	0.052800	IEX	-	MTL	Karnataka
151	27/12/2016	0.052800	IEX	-	MTL	Karnataka
152	28/12/2016	0.052800	IEX	-	MTL	Karnataka
153	29/12/2016	0.037400	IEX	-	MTL	Karnataka
154	30/12/2016	0.022000	IEX	-	MTL	Karnataka
155	31/12/2016	0.048400	IEX	-	MTL	Karnataka

S.No.	Name of the Client	IEX		PXIL	
		Margin charged when MCP is lesser than or equal to ₹3/kWh (₹/kWh)	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)	Margin charged when MCP is lesser than or equal to ₹3/kWh (₹/kWh)	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)
1	MPCL	0.01	0.01	-	-
2	ADHPL	0.01	0.01	-	-
3	MTL	0.009	0.009	-	-
5	JPL	0.010	0.010	-	-

Note : Seller Clients (except IEX) are Generators
Buyer Clients (except IEX) are OA consumers

Form IV H

Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: December, 2016

Sr. No.	Name of REC Client	Client Type (Renewable generator/ Obligated)	Name of Power Exchange	Volume (RECs)	Market Clearing Price (₹/REC)	Trading Margin (₹/kWh)
Non Solar RECs						
1	GE India Technology Centre Pvt. Ltd.	Obligated entity	IEX	92	1,500	0.01
2						
3						
4						
Solar RECs						
1	GE India Technology Centre Pvt. Ltd.	Obligated entity	IEX	13	3,500	0.01
2						
3						
4						

trading date 30-Nov-16.

trading date 30-Nov-16.

Note: 1 REC = 1 MWh.